| Commission for Proces, Sm. PCT, United Som Processed Toyotman College, Washington, G.C. 2023 s, vvoc.coping or | ·                     |                  |                   |
|--|-----------------------|------------------|-------------------|
| U.S. APPLICATION NUMBER NO.  | FIRST NAMED APPLICANT |                  | ATTY. DOCKET NO.  |
| 09/889,686   | Klaus During          |                  | 528.0133.PCUS00   |
|  |                       | INTERNATIONA     | L APPLICATION NO. |
|  | _                     | PCT/DI           | E00/03119         |
| Albert P Halluin   |                       | I.A. FILING DATE | PRIORITY DATE     |
| Howrey Simon Arnold & White 301 Ravenswood Avenue Box 34   |                       | 09/05/2000       | 11/23/1999        |

**CONFIRMATION NO. 7122 371 FORMALITIES LETTER** 

\*OC000000006875215\*

Date Mailed: 10/15/2001

Menlo Park, CA 94025

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

₱ The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- · English Translation of the IA
- · Preliminary Amendments
- Small Entity Statement

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 20 months months from the priority date (37 CFR 1.492(e)) is required.
- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason (s):



- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- Please see the attached Raw Sequence Listing Error Report.
- APPLICANT MUST PROVIDE:
  - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
  - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase Patentin Software, call (703) 306-2600
  - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

 Additional claim fees of \$108 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$173 for a Small Entity:

- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$108
  - \$108 for 15 total claims over 20.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

## CHARITTA A BURT

Telephone: (703) 305-3734

## PART 2 - OFFICE COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | · ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|--------------------|
| 09/889,686                  | PCT/DE00/03119                | 03528.0133.PCUS00  |